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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew C. Gilbert et al.

Application No.: 10/047,607 Confirmation No.: 5741

Filed : January 14, 2002

For : STEMS AND METHODS FOR ELECTRONIC TRADING

THAT PERMIT PRINCIPAL/BROKER TRADING

Group Art Unit: 2164

Examiner : Not Yet Known

RECEIVED

Box DAC Commissioner for Patents P.O. Box 2327 Arlington, VA 22202 DEC 2 6 2002

OFFICE OF PETITIONS

REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 C.F.R. § 1.47(a)

Sir:

Responsive to the "Decision Refusing Status Under 37 C.F.R. § 1.47(a)" mailed October 24, 2002, applicants enclose a Declaration and Power of Attorney form executed by Mrs. Gilbert, the previously non-signing legal representative of deceased inventor Andrew C. Gilbert.

Because Mrs. Gilbert was the sole non-signing legal representative or inventor, applicants now believe that this patent application complies with the declaration requirements set forth in 37 C.F.R. § 1.63 and § 1.64. Accordingly, applicants respectfully submit that this patent application is in a condition for examination, and that applicants' petition under 37 C.F.R. § 1.47(a) is moot.

The Director is hereby authorized to charge payment of any additional fee required under 37 C.F.R. \$ 1.17, or credit any overpayment of same, to Deposit Account No. 06-1075.

Respectfully submitted,

Jeffrey C. Aldridge

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